

Section 1 REGISTRATION DETAILS

Member name _____ Member # _____

Name of Professional Corporation _____

Section 2 APPLICATION REQUIREMENTS

A minimum of one of the following items must accompany this application. Check all that apply:

Articles of Amendment (including schedules if applicable) Amendment to Professional Corporation Statement of Particulars

Section 3 DECLARATION

I, _____, a member of the Chartered Professional Accountants of Alberta, and a director of the professional corporation, hereby submit for approval the enclosed Articles of Amendment with Schedules (if applicable) and/or the Amendment to Statement of Particulars form to proceed with the amendment of my professional corporation under the *Business Corporations Act* with the Government of Alberta, and in accordance with the *Chartered Professional Accountants Act* and *Chartered Professional Accountants of Alberta Directives*.

Member Signature

Date

Section 4 FEE PAYMENT

Amendment Fee	\$ 170.00	Amendment fee MUST accompany the application. If insufficient fees or an incomplete application is submitted; the form will be returned to the applicant for follow-up.
GST [# 10690 4287 RT0003]	<u>8.50</u>	
Total	<u>\$ 178.50</u>	

Accepted methods of payment:

By Cheque: Payable to CPA Alberta with a copy of this application.

By Credit card:

Online: Please log in online to the **Member portal** using your member credentials, navigate to **Action Centre** menu and select the **Service Request** option. Select **PC Amendment** under the Payment type and enter the amount (excluding GST) under the **Payment Amount**. GST will automatically be calculated. Refer to the "How to Pay Fees" pamphlet attached or located on our website at https://www.cpaalberta.ca/-/media/Files/Members/Member-Fees/How-to-Pay-Fees/CPAAB-Services-How-To-Instructions_2020.pdf for instructions on how to pay online.

By Phone: 1-800-232-9406 and request to speak to a Finance department representative to provide your credit card information over the phone.

Credit card payments will only be accepted through the payment methods listed above. Please refrain from sending your credit card information via email, fax or on any of our paper forms.

SUBMIT to CPA Alberta office

Edmonton Office

1900 TD Tower, 10088 – 102 Avenue
Edmonton AB Canada T5J 2Z1
T. 780 424.7391 F. 780 425.8766 1 800 232.9406

Calgary Office

Suite 800, 444 - 7 Avenue SW
Calgary AB Canada T2P 0X8
T. 403 299.1300 F. 403 299.1339 1 800 232.9406

Email to:

ProfCorp@cpaalberta.ca

Section 1 – REGISTRATION DETAILS

Member # _____ NAME OF PROFESSIONAL CORPORATION: _____

Registered Head Office Address:

Email: _____

Phone Number: _____

City: _____

Province: _____ Postal Code: _____

Section 2 – DIRECTOR(S) – any director must be a Chartered Professional Accountant

The following person ceased to hold office as Director(s):

Member #	Name of Director	Address

As of this date, the Director(s) of the Professional Corporation are:

Member #	Name of Director	Address

Section 3 – VOTING SHAREHOLDER(S) – any voting shareholder must be a Chartered Professional Accountant

The following person ceased to be a Voting Shareholder(s):

Member #	Name of Shareholder	Address	Number & Class of Shares

As of this date, the following person is a voting shareholder:

Member #	Name of Shareholder	Address	Number & Class of Shares

Section 4 – NON-VOTING SHAREHOLDER(S)

The following person ceased to be a Non-Voting Shareholder(s):

Name of Shareholder	Address	Relationship ¹	Number & Class of Shares

As of this date, the following person(s) are Non-Voting Shareholder(s):

Name of Shareholder	Address	Relationship ¹	Number & Class of Shares

¹ A non-voting shareholder may be the member’s spouse, child, common-law partner, trust for child or a voting shareholder.

Section 5 - DECLARATION

I, _____, a member of the Chartered Professional Accountants of Alberta, and a director of the professional corporation, hereby certify to the Chartered Professional Accountants of Alberta that the contained information and particulars respecting the professional corporation are true and complete.

Signature

Date

**ARTICLES OF AMENDMENT
ALBERTA BUSINESS CORPORATIONS ACT
(SECTION 27 & 177)**

ARTICLES OF AMENDMENT

1. NAME OF CORPORATION:

2. CORPORATE ACCESS NUMBER:

3. ITEM NUMBER _____ OF THE ARTICLES OF THE ABOVE NAMED CORPORATION ARE AMENDED IN ACCORDANCE WITH SECTION _____ OF THE BUSINESS CORPORATIONS ACT.

NAME

RELATIONSHIP TO CORPORATION

DATE

SIGNATURE

SCHEDULE "B"
RESTRICTIONS ON TRANSFER

1. No securities in the Corporation, other than non-convertible debt securities, shall at any time be transferred voluntarily or involuntarily, by deed, assignment, seizure and sale, operation of law or otherwise to any person other than the personal representative of the security holder or to the person or persons entitled to the said security or interest under the Will or upon the intestacy of the security holder, unless the Directors have resolved to approve such transfer, which said approval may be withheld for any reason whatsoever.

2. Without limitation to paragraph 1 of this Schedule, no legal or beneficial interest in shares in the Corporation may be transferred to persons who are prohibited from holding such Shares under Schedule "C" to these Articles of Incorporation.

SCHEDULE "C"
OTHER RULES OR PROVISIONS

1. DEFINITIONS

In this Schedule "C":

- (a) "child" has the same meaning as it is given under the *Chartered Professional Accountants Act, Alberta*;
- (b) "common law partner", has the same meaning as it is given under the *Chartered Professional Accountants Act, Alberta*;
- (c) "chartered professional accountant" has the same meaning as it is given under the *Chartered Professional Accountants Act, Alberta*;
- (d) "CPAA" means the Chartered Professional Accountants of Alberta, or any successor organization;
- (e) "member", when used in reference to the CPAA, means a chartered professional accountant in good standing with the CPAA;
- (f) "registrant" has the same meaning as it is given under the *Chartered Professional Accountants Act, Alberta*.

2. RESTRICTION ON HOLDINGS

Subject to paragraphs 3, 4 and 6 of this Schedule, if and for so long as the Corporation's name contains the terms "Professional Corporation" or "P.C." or the Corporation is registered as a professional corporation by the CPAA:

- (a) Voting shares of the Corporation may only be vested, legally or beneficially, in members of the CPAA.
- (b) Non-voting shares of the Corporation may only be vested, legally or beneficially, in the following persons:
 - (i) one or more members of the CPAA who are also voting shareholders in the Corporation;
 - (ii) in respect of any member of the CPAA who is also a voting shareholder, one or more of the following persons:
 - (A) the spouse of the member;
 - (B) the common-law partner of the member;
 - (C) a child of the member;
 - (D) a trust, all of the beneficiaries of which are minor children of the member

3. CHANGES IN CIRCUMSTANCES

Notwithstanding subparagraphs 2 (a) and (b), a shareholder who fails to meet the requirements of subparagraph 2 (a) or 2(b) only because:

- (a) of the death of a member of the CPAA who is a voting shareholder of the Corporation,
- (b) the suspension or cancellation of the registration of a registrant, who is a voting shareholder of the Corporation,
- (c) of the death of a non-voting shareholder of the Corporation or the death of a minor child who is a beneficiary of a trust referred to in subparagraph 2 (b)(ii) (D),
- (d) a former spouse or former common-law partner of a member of the CPAA who is a voting shareholder continues to own non-voting shares after their divorce or the breakdown of their common-law relationship, or
- (e) a child who is a beneficiary of a trust referred to in subparagraph 2(b)(ii)(D) of this Schedule attains the age of 18 years.

may remain as a shareholder of the Corporation subject however to the provisions in these articles (if any) or of any shareholder agreement, mandating the purchase, redemption or other disposition of such shares.

(Cont'd.)

4. SUSPENSION OF MEMBER

Notwithstanding subparagraphs 2(a) and 2(b) of this Schedule, if the registration of a chartered professional accountant who is a shareholder of the Corporation is suspended, that individual may remain as a shareholder of the Corporation subject however to the provisions in these articles (if any) or of any shareholder agreement, mandating the purchase, redemption or other disposition of such shares.

5. CONTINUED APPLICATION OF CPA ACT

Paragraph 3 of this Schedule does not limit, and is not intended to limit, the provisions of the *Chartered Professional Accountants Act, Alberta* which would result in the cancellation of the Corporation's registration as a professional corporation by the CPAA if such shareholdings should continue for a period of 90 days or more. Paragraph 4 of this Schedule does not limit, and is not intended to limit, the provisions of the *Chartered Professional Accountants Act, Alberta* which would prohibit that individual from continuing to engage in a professional accounting practice or a public accounting practice on behalf of the Corporation while suspended.

6. VOTING TRUSTS

No voting shareholder of a professional corporation shall enter into a voting trust agreement, proxy or any other type of agreement vesting in another person who is not a member of the CPAA the authority to exercise the voting rights attached to any or all of the shareholder's shares nor shall such trust agreement, proxy or any other type of agreement be binding or otherwise effective as against the Corporation or any shareholder of the Corporation.

7. DISTRIBUTION TO PUBLIC

The Corporation shall not make a distribution to the public of, or make any invitation to the public to subscribe for, securities in the Corporation unless such distribution or invitation is made in compliance with the securities regulations of any province, state or other political entity having jurisdiction over such distribution or invitation.

8. LIMITATION ON NUMBERS & BENEFICIAL OWNERSHIP

The aggregate numbers of persons or companies, counting any 2 or more joint registered owners as one beneficial owner (and not counting employees and former employees of the Corporation or its affiliates) beneficially owning, directly or indirectly:

- (a) voting or non-voting shares of the Corporation; and
- (b) securities of the Corporation convertible, directly or indirectly, into voting or non-voting shares of the Corporation;

shall not exceed 50.