

DISCIPLINE HEARING FABER LLP CHARTERED ACCOUNTANTS

A Discipline Tribunal has been convened to conduct a hearing into allegations of unprofessional conduct regarding Faber LLP Chartered Accountants.

The Complaints Inquiry Committee alleges that Faber LLP Chartered Accountants are guilty of unprofessional conduct in that it:

1. Failed to perform the professional services which it agreed to perform for DES Inc. in 2014 with integrity and due care;
2. Received funds from its clients, MC and GC, designated to be used to relieve the obligations of those clients to the Canada Revenue Agency, and instead applied those funds to those clients' outstanding accounts with Faber LLP;
3. Failed to appropriately respond to requests for information related to the following clients from a successor accountant between approximately June to October 2016:
 - a. M and WC;
 - b. GC;
 - c. GS;
 - d. HV Inc.;
 - e. JR;
 - f. MM Corporation;
 - g. Num Alberta Ltd.;
 - h. GMGes Inc.;
 - i. DES Inc.
4. Failed to establish, maintain or uphold appropriate practices, policies, or procedures designed to ensure that employees or contractors who are associated with Faber LLP Chartered Accountants or who provide professional services on behalf of Faber LLP Chartered Accountants comply with the Rules of Professional Conduct, in that:
 - a. the work of its employee, Employ1, was not adequately supervised in relation to the professional services provided to DES Inc.; and
 - b. the requests and complaints of its clients, MC and GC and DES Inc., did not receive an adequate or timely response.

All of which is contrary to the provisions of the *Chartered Professional Accountants Act*, SA 2014, c. C-10.2, the *Regulated Accounting Profession Act*, RSA 2000, c. R-12, or the regulations, bylaws, Rules of Professional Conduct enacted pursuant thereto or standards of practice, constituting unprofessional conduct.

FOR FURTHER INFORMATION PLEASE
CONTACT: