



## **SUMMARY OF SANCTION AGREEMENT MEMBER A**

On the 22<sup>nd</sup> day of September, 2011, the Complaints Inquiry Committee approved and accepted a sanction agreement pursuant to the provisions of s. 74 of the *Regulated Accounting Profession Act*, R.S.A. c. R-12 (“*RAPA*”).

Under that Sanction Agreement, Member A, admitted that he was guilty of unprofessional conduct in having:

1. representing himself as a chartered accountant by using the initials “CA” while suspended as a member of the Institute of Chartered Accountants of Alberta; and
2. failing to reply in writing to the Institute of Chartered Accountants of Alberta by failing to respond to the complaint when requested to do so by letters dated November 25, 2010 and January 12, 2011.

Member A, was suspended for non-payment of membership fees and was advised he could no longer use the name “Chartered Accountant” of initials “CA” while he was suspended. Member A continued to use “CA” in his email signatures. Member A and the Complaints Inquiry Committee agreed that the sanctions to be imposed in consequence thereof will be:

1. a reprimand from the Chair;
2. payment of a fine of \$1,000 per finding of unprofessional conduct, within 60 days of the issuance of the statement of costs;
3. payment of costs of the investigation, hearing and compliance with the orders, within 60 days of the issuance of the statement of costs;
4. notification of a summary of the sanction agreement’s admissions and sanctions be provided to all provincial institutes, the Institute of Chartered Accountants of Bermuda and any other professional organization to which Member A belong and the Institute is aware, as of the date of this order;
5. notification of a summary of the sanction agreement’s admissions and sanctions be provided to all provincial institutes to which Member A applies for membership at any time following this order;
6. a summary of the sanction agreement’s admissions and sanctions be provided to anyone who directs an enquiry to the Institute about the discipline history of Member A;
7. a summary of the sanction agreement’s admissions, the nature of the conduct and any orders made as a result of the findings be published on the ICAA public website on a named basis;
8. the sanction agreement, with all third parties’ names replaced by pseudonyms, be published on the ICAA public website on a named basis;

9. a copy of the sanction agreement be provided to Quicklaw in accordance with the Bylaws; and
10. If Member A fails to comply with any terms of this sanction agreement within the time specified, the registration of Member A will be cancelled and will be required to return his membership certificate to the Institute.

Discipline Tribunal Secretary  
September 2011