

ROBERT LAUNDER SUMMARY OF DISCIPLINE NOTICE OF CANCELLATION

On November 6, 2015 an Discipline Tribunal found that after due consideration of the verbal and written evidence and the submissions placed before it, the Tribunal found that Robert Launder was guilty of unprofessional conduct in that on April 20, 2012, Robert Launder, attempted to sell 15,000 shares of BM Corp. from his non-registered account, of which 5,000 shares were actually sold during the blackout period of April 10, 2012 to April 25, 2012, an activity strictly prohibited by a designated insider.

On December 14, 2015 the Complaints Inquiry Committee appealed the penalty ordered by the Discipline Tribunal. On October 20, 2016, pursuant to section 112 of the *Regulated Accounting Profession Act*, an Appeal Tribunal ordered that the Discipline Tribunal's reprimand order be replaced with the following orders:

- 1. The cancellation of Mr. Launder's registration.
- 2. Mr. Launder pay fifty (50%) percent of the investigation and hearing costs.
- 3. Mr. Launder pay a fine of \$5,000.00.

The Appeal Tribunal confirms and uphold the Discipline Tribunal's publication order that after the time allowed for appeal expires publication orders are as follows:

- 1. a summary of the Tribunal's findings, any orders made, be provided to all provincial Institutes or their successor CPA bodies, CPA Bermuda, and any other professional organization to which Robert John Launder belongs and CPA Alberta is aware of, as of the date of this order.
- a notice of the Tribunal's finding and orders to be provided to all provincial Institutes or their successor CPA bodies to which Robert John Launder applies for membership at any time following this order.
- a notice of the Tribunal's finding and any orders made shall be provided to anyone who directs a written enquiry to CPA Alberta about the discipline history of Robert John Launder.
- 4. a summary of the Tribunal's findings, the nature of the conduct, and any orders made as a result of the findings, shall be published on the CPA Alberta website on a named basis.
- 5. the written decision of the Tribunal, with all third parties' names replaced by pseudonyms, shall:
 - (a) be published on a named basis on the CPA Alberta Website
 - (b) be provided to Quicklaw.

January 2017
Discipline Tribunal Secretary