

SUMMARY OF DISCIPLINE YVONNE ANDERSON, CPA, CA

On 26th day of April, 2017, the Complaints Inquiry Committee approved and accepted a sanction agreement pursuant to the provisions of s. 74 of the *Regulated Accounting Profession Act*.

Under that agreement, Yvonne Anderson, CPA, CA admitted to the allegations of unprofessional conduct set out in the January 24, 2017 Decision of the Appeal Tribunal referring the matter to hearing, in that she: upon learning of the marital breakdown between her Client A, a shareholder and director of her Client BC Professional Corporation ("BCPC"), and her then-Client B, the other shareholder and director of BCPC, failed to (i) withdraw from providing professional services to her Clients BCPC and Client A, or (ii) obtain informed consent from her former Client B to the continuation of her engagements with her Clients B and BCPC and apply appropriate conflict management techniques.

ORDERS

Yvonne Anderson, CPA, CA, and the Complaints Inquiry Committee agreed that the sanctions to be imposed in consequence thereof would be:

1. withdrawal from providing professional services to BCPC within 90 days of service of notice of acceptance of the agreement by the Complaints Inquiry Committee;
2. Pursuant to Section 96 of *RAPA*, the discipline tribunal secretary is instructed to publish or post the sanction agreement or a summary of it pursuant to Bylaw 1670 of the Institute of Chartered Accountants Bylaw under *RAPA*. For greater clarity:
 - a. a summary of the Agreed Facts, Admissions and Sanctions shall be provided on a named basis to all provincial institutes, or their successor CPA bodies, CPA Bermuda and any other professional organization to which Yvonne Anderson, CPA, CA belongs and CPA Alberta is aware, as of the date of this order;
 - b. a summary of the Agreed Facts, Admissions and Sanctions be provided to all provincial institutes, or their successor CPA bodies, to which Yvonne Anderson, CPA, CA applies for membership at any time following this order;
 - c. a summary of the Agreed Facts, Admissions and Sanctions be provided to anyone who directs an enquiry to the CPA Alberta about the discipline history of Yvonne Anderson, CPA, CA;
 - d. a summary of the Agreed Facts, Admissions and Sanctions be published on the CPA Alberta public website on a named basis; and
 - e. a summary of the Agreed Facts, Admissions and Sanctions, with all third parties' names replaced by pseudonyms, be published on the public website on a named basis for such time as is prescribed by Bylaw 1675 of the Institute of Chartered Accountants Bylaw under *RAPA*;
3. if Yvonne Anderson, CPA, CA, fails to comply with the agreement within the time specified, the registration of Yvonne Anderson, CPA, CA will be cancelled and she will be required to return her membership certificate to CPA Alberta.

Discipline Tribunal Secretary
May 2017