

## **SUMMARY OF DISCIPLINE BARBARA THOMPSON**

On May 24, 2017, the Complaints Inquiry Committee approved and accepted a sanction agreement pursuant to the provisions of s. 74 of the *Chartered Professional Accountants Act*.

Under that agreement Barbara Thompson, CPA, CA, admitted to the allegations of unprofessional conduct as set out in the January 12, 2017 motion referring the matter to hearing in that, she:

1. performed audit engagements for A Condominium Corporation for the fiscal years ended October 31 from 2006 to 2014 while not registered as a public accounting firm with CPA Alberta, formerly the Institute of Chartered Accountants of Alberta.
2. failed to respond to the requests made on or about July 3, 2015, August 24, 2015, September 8, 2015, October 6, 2015 or November 17, 2015 from the successor accountant for A Condominium Corporation, until on or about February 16, 2016.

AND, Barbara Thompson, CPA, CA, and the Complaints Inquiry Committee agree that the sanctions to be imposed in consequence thereof will be:

1. payment of a fine of \$5,000 for allegation 1 & 2;
2. payment of costs of the investigation, hearing and compliance with the orders, in accordance with bylaw 1601, within 240 days of the issuance of the statement of costs;
3. mandatory publication pursuant to section 98 of the *CPA Act* and bylaws 1550-1557;
  - a. a summary of the sanction agreement's admissions and sanctions be provided to all provincial CPA bodies, CPA Bermuda and any other professional organization to which Barbara Thompson belongs and CPA Alberta is aware, as of the date of this order;
  - b. a copy of the sanction agreement or a summary of the admissions and sanctions will be provided to anyone who directs an enquiry to CPA Alberta about the discipline history of Barbara Thompson;
  - c. the sanction agreement or a summary of the sanction agreement including the admissions and sanctions or both be published on the CPAA website on a named basis; and
  - d. a copy of the sanction agreement be provided to Quicklaw with all third parties names replaced with pseudonyms; and in accordance with the bylaws.
4. if Barbara Thompson, CPA, CA, fails to comply with the sanctions of this agreement within the time specified, the registration of Barbara Thompson, CPA, CA will be cancelled and she will be required to return her membership certificates to CPA Alberta.

Discipline Tribunal Secretary  
May 2017