



SUMMARY OF THE FINDINGS OF THE DISCIPLINE TRIBUNAL ON ALLEGATIONS OF UNPROFESSIONAL CONDUCT REGISTRANT B AND FIRM K

The discipline hearing of Registrant B and Firm K was held on October 2, 14, 15 and November 20, 2025. In its written decision dated March 31, 2026, the Discipline Tribunal found Registrant B and Firm K not guilty of unprofessional conduct and dismissed all allegations before them.

The Tribunal ordered:

- a. All charges against the Regulated Members are dismissed; and
- b. This Order will be published as required under s.98 of the CPA Act or s.1550- 1557 of the Bylaws.

Discipline Tribunal Secretary
March 2026