

## **SUMMARY OF SANCTION AGREEMENT**

### **REGISTRANT A**

On December 15, 2021, the Complaints Inquiry Committee approved and accepted a sanction agreement pursuant to the provisions of s. 74 of the *Chartered Professional Accountants Act*.

Registrant A, admitted to the allegations of unprofessional conduct as set out in the October 15, 2020 motion referring the matter to a hearing, in that they:

1. Failed to respond to communications from their client, Client A, with respect to information and documents requested by them;
2. Failed to provide the professional services to Client A and their corporation, Company A, that they were engaged to perform on a timely basis;
3. Failed to cooperate with the CIC Secretary and Investigator of CPA Alberta with respect to the complaint of Client A by failing to respond to communications which required a response, including failing to provide information and documents requested by them on a timely basis.

All of which is contrary to the provisions of the *Chartered Professional Accountants Act*, SA 2014, c. C-10.2, or the regulations, bylaws, Rules of Professional Conduct enacted pursuant thereto or standards of practice, constituting unprofessional conduct.

AND, Registrant A, and the Complaints Inquiry Committee agree that the sanctions to be imposed in consequence thereof will be:

1. Written reprimand;
2. Payment of a fine of \$500 for each of allegations #1 to 3 within 3 months of the statement of costs being served;
3. Payment of the reasonable costs of the investigation, hearing and compliance with the orders, in accordance with bylaw 1601, within 9 months of the statement of costs being served;
4. Mandatory publication pursuant to section 98 of the *CPA Act* and bylaws 1550-1557; and
5. If Registrant A, fails to comply with the Tribunal's orders within the time specified, their registration will be cancelled.

Discipline Tribunal Secretary  
December 2021